

Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US05/003105

International filing date: 26 January 2005 (26.01.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US
Number: 60/546,406
Filing date: 20 February 2004 (20.02.2004)

Date of receipt at the International Bureau: 03 March 2005 (03.03.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

1288318

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

February 23, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/546,406

FILING DATE: *February 20, 2004*

RELATED PCT APPLICATION NUMBER: *PCT/US05/03105*



Certified by

Under Secretary of Commerce
for Intellectual Property
and Director of the United States
Patent and Trademark Office

18379 U.S. PTO
022004

PTO/SB/16 (01-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. **ER 541914766 US**

7858 U.S. PTO
60/546406

022004

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
JAMES K.		GARLAND		SANDY, UTAH	
Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max) DENTAL MODEL TRAY AND ASSOCIATED ARTICULATOR					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number: _____					
OR					
<input checked="" type="checkbox"/> Firm or Individual Name		TERRY M. CRELLIN			
Address		204 W. 330 NORTH			
Address					
City		State	UTAH	Zip	84745
Country		USA	Telephone		Fax
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages		16		<input type="checkbox"/> CD(s), Number _____	
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets		2		<input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE Amount (\$)	
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees.				80.00	
<input type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: _____					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

[Page 1 of 2]

Respectfully submitted,

SIGNATURE Terry M. Crellin
TYPED or PRINTED NAME TERRY M. CRELLIN
TELEPHONE 435-635-7967

Date FEB. 20, 2004
REGISTRATION NO. 25,579
(if appropriate)
Docket Number: _____

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FILING DATE OF FEB. 20, 2004 RESPECTFULLY
REQUESTED

DENTAL MODEL TRAY AND ASSOCIATED ARTICULATOR

5

10

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

15 The inventor JAMES K. GARLAND, a citizen of the U.S.A.
and resident of Sandy, Utah, whose post office address is
3255 Seven Springs Drive, Sandy, Utah 84092 hereby submits
the following provisional patent application.

TERRY M. CRELLIN
204 West 330 North
La Verkin, Utah 84745
(435) 635-7967

DENTAL MODEL TRAY AND ASSOCIATED ARTICULATOR

BACKGROUND OF THE INVENTION

1. Field of the Invention

5 The present invention relates generally to apparatus used in making a dental model from a dental impression whereby the patient's teeth are accurately replicated in the dental model. In particular, the present invention relates to novel trays used in casting the dental models and
10 articulation systems used in association with the trays and the models which are cast in the trays.

2. State of the Art

 In order to fabricate a dental prosthetic, such as a crown, inlay, bridge, etc., a negative impression of a
15 patient's teeth is taken using an impression material, and a reproduction of the impression is made as a model in the dental laboratory. The reproduction is a solid, positive model or replication of the gums and at least several adjacent teeth in the mouth of the patient. It is necessary
20 to support the replication or dental model on an articulation device to determine proper size, fit and movement of the restorative prosthetic relative to the other teeth of the patient.

 The process of forming dental models is widely known

and is described in U.S. Patent No. 5,207,574 and will not be repeated here. Generally, the reproduction of the patient's teeth corresponding to the upper and lower teeth are formed in separate casting steps. The models of the
5 respective upper and lower teeth are then affixed to an articulation device during the preparation of a restorative prosthetic.

Tray and articulation systems to which the present invention is closely related are shown in U.S. Patent Nos.
10 5,846,076 and 5,913,681. In patent number 5,846,076 a tray is shown having a bottom wall and side walls that extend upwardly from the perimeter of the bottom wall to form an open-topped cavity into which dental casting material is poured. The tray is made of elastomeric material so that
15 the tray can be easily removed from the cast model that is formed in the tray. The tray after being stripped from the cast model is then discarded.

In patent number 5,913,681 a tray is provided that includes a base wall and upwardly extending side walls at
20 the perimeter of the base wall similar to that of patent number 5,846,076. However, the tray of patent number 5,913,681 is formed as a single piece from a non-elastomeric, rigid material, and the cast model must be excised with some difficulty from the tray.

OBJECTIVES AND BRIEF DESCRIPTION OF THE INVENTION

A principal objective of the invention is to provide a novel tray for use in making dental models wherein the tray is made of a rigid polymeric material and has a

5 substantially planar base with upstanding side walls integrally attached at the perimeter of the planar base by spaced apart, frangible tabs which are formed integrally with the base and the side walls. The side walls extend upwardly substantially perpendicular to the planar base so

10 as to form an open-topped cavity into which dental casting material can be poured in the process of making a dental model. The dental casting material is contained within the tray by the upstanding side walls of the tray. After the dental casting material has been formed into the dental

15 model and has set or hardened, the side walls on the tray can be pulled away from the sides of the model and ripped from the perimeter of the planar base of the tray. The frangible tabs that hold the side walls to the planar base are ripped apart in the fashion of removing one postage

20 stamp from an adjacent stamp. The planar base remains firmly attached to the bottom of the dental casting and dies can be cut in the dental casting from the upper surface of the casting to the planar base as is done in utilizing the

dental model in forming a prosthetic device such as an inlay, crown or bridge.

A further objective of the present invention is to provide an improved articulation system to be used with the novel tray. The articulation system of the present invention is an improvement upon the articulation systems shown in U.S. Patent Nos. 5,913,681 and 5,847,076. The articulation system of the present invention includes an ell-shaped extension that extends from each of the trays which are used in making the dental model. The distal ends of the ell-shaped extensions have a telescopic type attachment such that the end of one extension can be connected to the end of the other extension whereby the extensions can be pivotally rotated relative to each other about the common axis of the telescopic type attachment. In accordance with one aspect of the present invention, a locking mechanism is provided that locks the telescopic attachment in place so that it can rotate about its common axis but neither part of the telescopic attachment can move longitudinally with respect the other along the common axis. This allows the dental models formed on the trays to be moved toward and away from each other and from side to side in an occlusion type movement, with the models on each tray being held in the same relationship with each other as the

real teeth of the patient are during normal chewing and movement of the jaws of the patient.

Additional objects and features of the invention will become apparent from the following detailed description
5 taken together with the accompanying drawings.

THE DRAWINGS

Preferred embodiments of the present invention representing the best mode presently contemplated of carrying out the invention are illustrated in the
10 accompanying drawings in which:

Fig. 1 is an exploded, pictorial representation of two dental model trays in accordance with the present invention, with the dental model trays being associated with a preferred articulation system to show how the trays are
15 associated with the articulation system when they are being used in making a dental model;

Fig. 2 is a bottom view of a dental tray of the present invention taken in the direction of line 2-2 of Fig. 1; and

Fig. 3 is a partial vertical elevation of the
20 connecting member of one of the articulation members as taken along line 3-3 of Fig. 1.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Two dental trays 12 and associated articulation members 13 and 14 of the present invention are shown in Fig. 1. The

trays 12 are used in pairs as is conventional in the dental modeling art. The two trays 12 shown in Fig. 1 are identical. Each tray 12 comprises a rigid bottom wall 16. Relatively thin side walls 17 extend upwardly from the perimeter of the bottom wall 16 to form an open-topped cavity which is adapted to receive the dental casting material.

The trays 12 of this invention are formed integrally from a rigid polymeric material. The side walls 17 are attached to the perimeter of the bottom wall 16 by a plurality of spaced apart tabs 19 that are integrally formed with the side walls 17 and bottom wall 16. The tabs 19 are made relatively thin so that they are frangible and can easily be broken. The purpose of the frangible tabs 19 is to allow the side walls 17 to be ripped or torn from the bottom wall 16 after the dental casting material has hardened in the tray 12.

Once the dental casting material has hardened in the trays, the side walls 16 are ripped from the bottom wall 16 in the same manner that a postage stamp can be ripped and separated from an adjacent stamp. This leaves the cast stone mounted on the bottom wall 16, and the side walls 16 that have been removed from the cast stone and the bottom wall are then discarded. The stone which includes the

replication of the teeth of a person can then be handled in conventional fashion to form dies of the tooth or teeth that a prosthetic is to be prepared for. The dies are formed in conventional fashion by sawing down through the casting from
5 the top of the replicated teeth to near the bottom wall 16 which is still securely secured to the bottom of the dental model.

An articulation system is provided so that occlusion of the upper and lower teeth of the replication can be achieved
10 as is well known in the art. A preferred articulation system of the present invention comprises an ell-shaped member 21 that extends from the back side edge of the bottom wall 16. For this purpose, the back wall 22 of the tray 12 is preferably formed integrally with the back side edge of
15 the bottom wall 16 and extends upwardly substantially perpendicular to the broad upper face of the bottom wall 16. This back wall 22 provides structure to which the ell-shaped member 21 is firmly attached. It should be recognized that the ell-shaped member 21 could be simply attached to the
20 back side edge of the bottom wall 16, but it is preferable to provide the rigid, integral back wall 22 as shown in the drawings.

The ell-shaped member 21 comprises two legs that are joined together at a common juncture and extend from that

juncture at an angle of substantially 90 degrees relative to each other. The legs are flat strips having a width of about $\frac{3}{8}$ inch to $\frac{5}{8}$ inch and a thickness of about $\frac{3}{64}$ inch and $\frac{5}{64}$ inch. Preferably, the width of the legs is
5 about $\frac{1}{2}$ inch and the thickness is about $\frac{1}{16}$ inch.

The ell-shaped member 21 can be formed integrally with the back side edge of the bottom wall 16 or the back, exposed side of the back wall 22, but as shown in the drawings, it is preferable to make the ell-shaped member 21
10 a distinct, separate piece that is removably attached to the back wall 22. In the embodiment of the invention as shown in the drawings, a first distal end of the ell-shaped member 21 is integrally formed to a slide block 24 which is adapted to be received in sliding manner within a slot-like opening
15 25 in the back wall 22. As illustrated, an upwardly extending opening 25 is formed in the back wall 22 extending upwardly from the bottom of the back wall 22 to near the top of the back wall 22. The back wall 22 is of sufficient thickness to accommodate the slot-like opening 25 which is
20 in turn of sufficient thickness to receive the block 24 in sliding movement within the slot-like opening 25. The slot-like opening 25 is open at its bottom side on the bottom of the back wall 22, and the top of the back wall forms a stop surface at the inside top of the opening 25.

The block 24 is sized so as to make a snug, semi-tight to tight fit within the slot-like opening 25 as the block 24 slides upwardly through the open end of and into the slot-like opening 25. When the upper end surface of the block 24 engages the stop surface, i.e., the top surface of the slot-like opening 25, the ell-shaped member 21 is positioned in its correct position and the tightness of the fit between the block 24 and the slot-like opening 25 holds the ell shaped member 21 securely in its correct position.

It should be recognized here that when using the system of the present invention, two trays 12 are used on which dental models will be formed with the respective replications of a person's upper and lower teeth. The articulation system is used to hold the two trays and dental models formed thereon so that the replications of the person's teeth can be pivoted in an articulation movement to simulate the occlusion of the upper teeth with the lower teeth. The articulation system of the present invention includes an ell-shaped member 21 for each of the trays 12, and the ell-shaped member 21 as so far described is the same for each of the trays. From here on each of the first and second members of the articulation system will be described independently inasmuch as there are differences in the two members. The first member is shown by the numeral 13 in the

drawings and the second member by the numeral 14. Again, both the first and second members 13 and 14 each comprise an ell-shaped member 21 and slide block 24 as previously described.

5 The second distal end of the ell-shaped member 21 of the first member 13 of the articulation system has a cylinder 30 attached thereto. The cylinder has a length that is substantially the same as the width of the leg of the ell-shaped member 21. The exterior surface of the
10 cylinder 30 is joined to the distal end of the ell-shaped member 21 so that the axis of the cylinder 30 is parallel with the plane of the leg of the ell-shaped member to which the cylinder 30 is attached. In addition, the cylinder 30 is so attached to the distal end of the ell-shaped member 21
15 such that the axis of the cylinder 30 is displaced just slightly away from the exterior face of the leg of the ell-shaped member 21. The thickness of the wall of the cylinder 30 is substantially the same as the thickness of the leg of the ell-shaped member 21.

20 The second distal end of the ell-shaped member 21 of the second member 14 of the articulation system also has a cylinder 32 attached thereto. The cylinder 32 has a length that is slightly longer than the length of cylinder 30 of the first member 13. The cylinder 32 is of an exterior

size such that it can smoothly fit longitudinally within the cylinder 30 of the first member 13 of the articulation system. The cylinder 32 is specially attached to the distal end of the ell-shaped member 21 of the second member 14 so
5 that the cylinder 32 can be received coaxially within the cylinder 30 of the other member 13, and when the cylinder 32 is so received coaxially within the cylinder 30, the respective ell-shaped members 21 to which the cylinders 30 and 32 are attached are positioned with the two members 13 and 14 lying in a common central plane whereby each of the
10 two members 13 and 14 can rotate or pivot about the common axis of the coaxially positioned cylinders 30 and 32.

The means for joining the cylinder 32 to its respective ell-shaped member 21 comprises forming a circular extension
15 35 at the second distal end of the ell-shaped member 21 of the second member 14 of the articulation system. This circular extension 35 is adapted to nest outwardly and over the exterior surface of the cylinder 30 of the first member 13 of the articulation system when the cylinders 30 and 32 are engaged coaxially. A back plate 36 is attached at the
20 back edge of the circular extension 35, and the cylinder 32 extends outwardly generally perpendicular from the back plate 36. The axis of the cylinder 32 is parallel with the plane of the leg of the ell-shaped member to which the

cylinder 32 is attached. In addition, the cylinder 32 is so attached to the ell-shaped member 21 such that the axis of the cylinder 32 is displaced just slightly away from the exterior face of the leg of the ell-shaped member 21. The
5 thickness of the wall of the cylinder 32 is substantially the same as the thickness of the leg of the ell-shaped member 21.

A novel locking device is provided that locks the two cylinders 30 and 32 in their operating position so that the
10 cylinders can pivot about their common axis but will not move longitudinally with respect to each other during the pivoting movement. The longitudinal length of the cylinder 32 is slightly longer than the corresponding length of the cylinder 30. When the cylinder 32 is received within the
15 cylinder 30, the end of cylinder 30 abuts directly against the back plate at the end of cylinder 32, and the free end of cylinder 32 extends slightly from the other end of cylinder 30. As shown in Fig. 3, a pair of slots 40 are cut in the free end of the cylinder 32. The slots 40 are spaced
20 close to each other generally between about $1/16$ inch and $3/32$ inch apart. The longitudinal length of the slots 40 are between about $1/8$ inch and $5/32$ inch long. A small projection or tab 42 extends outwardly from the outer surface of the cylinder 32 adjacent to the free end of the

cylinder 32 and between the slots 40.

When the free end of the cylinder 32 is pushed into the engaging end of the cylinder 30, the tab 42 is pushed inwardly which is allowed because of the bending of the
5 portion of the cylinder 32 between the slots 40. When the tab 42 clears the other end of the cylinder 30 it snaps out against the end of the cylinder 30 and prevents the cylinder 32 from moving longitudinally back within the cylinder 30. At the same time, the other end of the cylinder 30 has
10 engaged the back plate 36 on cylinder 32. Thus the cylinders 30 and 32 are locked in place relative to longitudinal movement but are free to make their pivotal movement about their common axis.

The locking device on the cylinders 30 and 32 guarantee
15 that the replications of the upper and lower teeth of the dental model can be pivoted toward and away from each other with the upper and lower teeth always being located properly in vertical alignment. The locking mechanism of the cylinders 30 and 32 prevents the displacement of the upper
20 model and the lower model out of their proper position one directly in alignment with the other.

There are certain movements that are desired and achieved with the articulation mechanism of the present apparatus. The pivoting motion as explained above is one.

But in addition to the pivoting movement it is very beneficial and necessary that the laboratory technician when making a prosthetic for a tooth is able to grind the teeth of the model back and forth in a horizontal direction in both a forward and backward movement as well as a side to side movement. The articulation mechanism of the present invention provides for both of these additional movement. Each of the members 13 and 14 of the articulation device are preferably molded from a polymeric material wherein all the individual elements are molded integrally with each other. The flexibility of the legs of the ell-shaped members 21 to which the cylinders 30 and 32 are attached allow for forward and backward movement of the models to simulate forward and backward grinding of the teeth. In addition, the flexibility of these legs allows for back and forth motion of the models to simulate back and forth grinding of the teeth.

There is one movement that is to be avoided, and the articulation device of the present invention prevents that movement. The movement that is to be avoided is a rocking or rolling movement of the teeth in one of the dental models with respect to the teeth in the other model. What is to be avoided is any motion of the type in which the dental models can pivot about a longitudinal axis through the models. It

has been unexpectedly found that by providing a stabilizer wall 45 that extends from the block 24 to the apex of the legs of the ell-shaped members 21 will stabilize the ell-shaped members 21 against this type of rocking and rolling motion. The dual walls which are formed by the one leg of the ell-shaped member 21 and the stabilizer wall 45 prevent axial rotation about the central axis of the ell-shaped member 21 through the leg of that member that connects the block 24 to the other leg of that member. It has been found that the first end of the stabilizer wall 45 should extend from a position on the block 24 that is spaced from the intersection of the leg of the ell-shaped member 21 and the block 24 to the apex of the intersection of the two legs of the ell-shaped member 21. The stabilizer wall 45 prevents any distortion of the leg of the ell-shaped member 21 that is connected to the block 24. If the stabilizer wall 45 extends straight across from the block 24 to the other leg of the ell-shaped member 21, that other leg is made to rigid to allow the torsional flexing thereof which permits the dental models to be moved in their sideward grinding motion.

FIG. 1

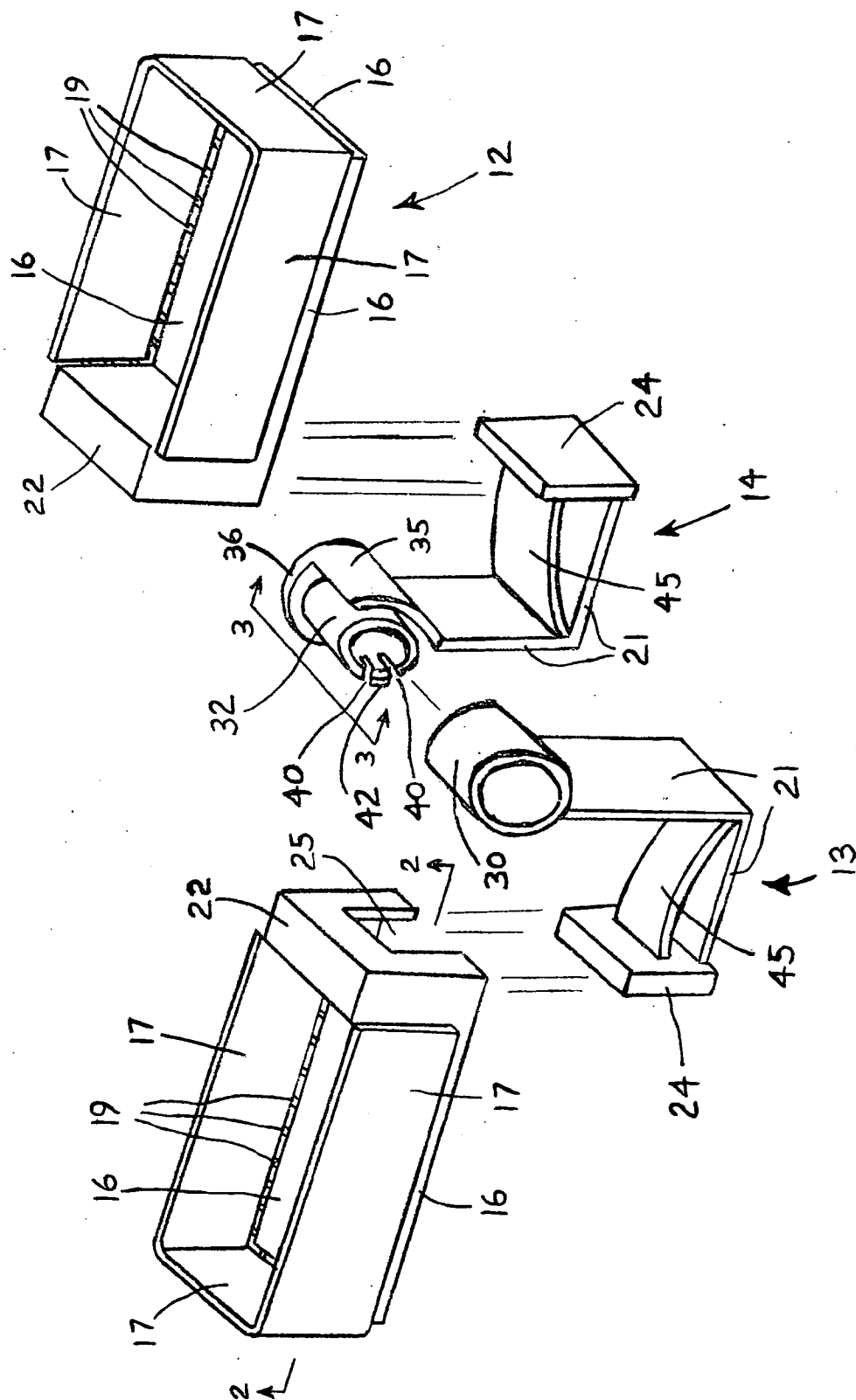


FIG. 2

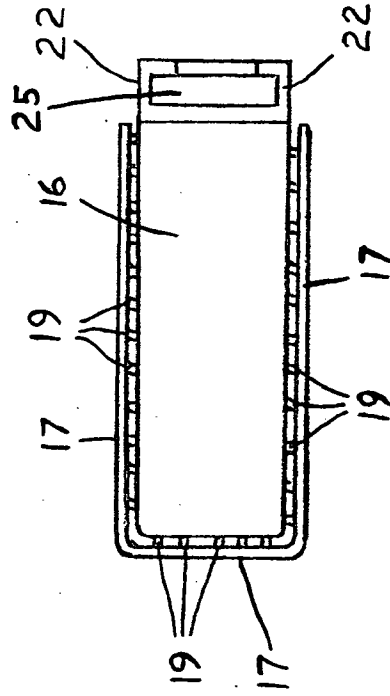


FIG. 3

